



[2345/108]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hans Wilfried Peter KOOPS
Serial No. : 09/462,283
Filed : March 29, 2000
For : METHOD FOR PRODUCING ACTIVE OR PASSIVE
COMPONENTS ON A POLYMER BASIS FOR
INTEGRATED OPTICAL DEVICES
Art Unit : 1765
Examiner : S. Ahmed

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date:

Aug 5, 2003

Signature

Linda M. Shudy (Reg. No. 47,084)

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TRANSMITTAL OF AMENDMENT AND REQUEST TO EXTEND

SIR:

Please find an Amendment, in response to an Office Action dated February 5, 2003 (the three-month shortened statutory date of May 5, 2003 being extended by three months to August 5, 2003), transmitted herewith for filing in the above-identified patent application.

The Commissioner is authorized to charge the extension fee of \$930.00 to the Kenyon & Kenyon Deposit Account No. 11-0600. The Commissioner is also authorized, as appropriate and/or necessary, to charge any additional fees (including any other Rule 136(a) extension fees) or credit any overpayment to Deposit Account No. 11-0600. A duplicate copy of this transmittal letter is enclosed for that purpose.

Dated:

Aug 5, 2003

Respectfully submitted,

By:

Richard L. Mayer
(Reg. No. 22,490)

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New York, New York 10004
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CUSTOMER NO. 26646



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Linda M. Shudy

Linda M. Shudy (Reg. No. 47,084)

AMENDMENT

SIR:

In response to the Office Action having mailing date February 5, 2003, please
reconsider the above-identified application based on the following.

IN THE CLAIMS:

Please amend claim 7 without prejudice as follows:

7. (Twice amended) A process for fabricating active and passive polymer-based components
for use in integrated optics according to a principle based on one of a gas-phase diffusion and
a liquid-phase diffusion, comprising the steps of:

depositing onto an optoelectronic component at least one patternable polymer resist
layer that is highly sensitive and that effects an intense polymerization when exposed;

producing an etching mask by exposing defined regions of the at least one patternable
polymer resist layer corresponding to a later component;

transferring a geometry of the etching mask through a high-grade anisotropic deep
etching into unprotected regions of the at least one patternable polymer resist layer located

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